

# Symmetry breaking in light-front $\phi_2^4$ theory

*Work done in collaboration with S. Chabysheva.*

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# Introduction

- applying new method to  $\phi^4$  theory
  - expansion of wave functions in basis of multivariate symmetric polynomials
  - allows fine tuning of resolution, Fock sector by Fock sector
  - incorporates small- $x$  behavior that captures integrable singularity
- exploring both symmetric and broken phases
  - symmetric phase emphasized in next talk by Chabysheva
  - here describe general method and consider broken phase

# Outline

- formulation of eigenvalue problem
  - Lagrangian and Hamiltonian
  - symmetric and asymmetric/broken
  - coupled systems of equations
  - symmetric polynomial method
  - sector-dependent mass
- results
  - symmetric and asymmetric/broken
  - comparison with Chang's duality
  - sector-dependent mass
- summary

# Lagrangian and Hamiltonian

Lagrangian:

$$\mathcal{L} = \frac{1}{2}(\partial_\mu\phi)^2 - \frac{1}{2}\mu_0^2\phi^2 - \frac{\lambda}{4!}\phi^4$$

Hamiltonian:

$$\mathcal{H} = \pm \frac{1}{2}\mu^2 : \phi^2 : + \frac{\lambda}{4!} : \phi^4 :$$

Mode expansion:

$$\phi(x^+, x^-) = \int \frac{dp^+}{\sqrt{4\pi p^+}} \{ a(p^+)e^{-ipx} + a^\dagger(p^+)e^{ipx} \}$$

Commutation relation:

$$[a(p^+), a^\dagger(p'^+)] = \delta(p^+ - p'^+)$$

# Asymmetric form

Shift by  $U = \exp \int dp^+ [f(p^+) a^\dagger(p^+) - f^*(p^+) a(p^+)]$

$$U a(p^+) U^\dagger = a(p^+) + f^*(p^+).$$

Choose  $f$  to correspond to a zero mode

[Harindranath & Vary, PRD 37, 3010 (1988)]

$$f(p^+) = f^*(p^+) \equiv \sqrt{\pi p^+} \delta(p^+) \phi_s \Rightarrow U \phi U^\dagger = \phi + \phi_s$$

and, with  $\phi_s = \pm \sqrt{6\mu^2/\lambda}$ ,

$$U : \mathcal{H}^- : U^\dagger = -\frac{3\mu^4}{2\lambda} + \frac{1}{2}(2\mu^2) : \phi^2 : + \frac{\lambda\phi_s}{3!} : \phi^3 : + \frac{\lambda}{4!} : \phi^4 :$$

Substitution of the mode expansion yields

$$\mathcal{P}^- = \mathcal{P}_{11}^- + \mathcal{P}_{22}^- + \mathcal{P}_{13}^- + \mathcal{P}_{31}^- + \mathcal{P}_{12}^- + \mathcal{P}_{21}^-,$$

# Light-front Hamiltonian terms

$$\mathcal{P}_{11}^- = \int dp \frac{2\mu^2}{p} a^\dagger(p) a(p),$$

$$\mathcal{P}_{22}^- = \frac{\lambda}{4} \int \frac{dp_1 dp_2}{4\pi \sqrt{p_1 p_2}} \int \frac{dp'_1 dp'_2}{\sqrt{p'_1 p'_2}} \delta(p_1 + p_2 - p'_1 - p'_2) \\ \times a^\dagger(p_1) a^\dagger(p_2) a(p'_1) a(p'_2)$$

$$\mathcal{P}_{13}^- = \frac{\lambda}{6} \int \frac{dp_1 dp_2 dp_3}{4\pi \sqrt{p_1 p_2 p_3 (p_1 + p_2 + p_3)}} a^\dagger(p_1 + p_2 + p_3) a(p_1) a(p_2) a(p_3),$$

$$\mathcal{P}_{12}^- = -\mu \sqrt{\frac{3\lambda}{2}} \int \frac{dp_1^+ dp_2^+}{\sqrt{4\pi p_1^+ p_2^+ (p_1^+ + p_2^+)}} a^\dagger(p_1^+ + p_2^+) a(p_1^+) a(p_2^+),$$

$$\mathcal{P}_{31}^- = (\mathcal{P}_{13}^-)^\dagger, \quad \mathcal{P}_{21}^- = (\mathcal{P}_{12}^-)^\dagger$$

# Eigenstate

The eigenstate of  $\mathcal{P}^-$ , with eigenvalue  $M^2/P^+$ , can be expressed as an expansion

$$|\psi(P^+)\rangle = \sum_m P^{+\frac{m-1}{2}} \int \prod_i^m dy_i \delta(1 - \sum_i y_i) \psi_m(y_i) |y_i P^+; P^+, m\rangle$$

in terms of Fock states

$$|y_i P^+; P^+, m\rangle = \frac{1}{\sqrt{m!}} \prod_{i=1}^m a^\dagger(y_i P^+) |0\rangle$$

with normalization

$$1 = \sum_m \int \prod_i^m dy_i \delta(1 - \sum_i y_i) |\psi_m(y_i)|^2.$$

# Coupled system of equations

$$\left\{ \begin{array}{l} +\mu^2 \\ -\mu^2 \\ 2\mu^2 \end{array} \right\} \sum_i^m \frac{1}{y_i} \psi_m(y_i) + \frac{\lambda}{4\pi} \frac{m(m-1)}{4\sqrt{y_1 y_2}} \int \frac{dx_1 \psi_m(x_1, y_1 + y_2 - x_1, y_3, \dots, y_m)}{\sqrt{x_1(y_1 + y_2 - x_1)}}$$

$$+ \frac{\lambda}{4\pi} \frac{m\sqrt{(m+2)(m+1)}}{6} \int \frac{dx_1 dx_2 \psi_{m+2}(x_1, x_2, y_1 - x_1 - x_2, y_2, \dots, y_m)}{\sqrt{y_1 x_1 x_2 (y_1 - x_1 - x_2)}}$$

$$+ \frac{\lambda}{4\pi} \frac{(m-2)\sqrt{m(m-1)}}{6} \frac{\psi_{m-2}(y_1 + y_2 + y_3, y_4, \dots, y_m)}{\sqrt{y_1 y_2 y_3 (y_1 + y_2 + y_3)}}$$

$$- \mu \sqrt{\frac{3\lambda}{8\pi}} m \sqrt{m+1} \int \frac{dx_1 \psi_{m+1}(x_1, y_1 - x_1, y_2, \dots, y_m)}{\sqrt{x_1(y_1 - x_1) y_1}}$$

$$- \mu \sqrt{\frac{3\lambda}{8\pi}} (m-1) \sqrt{m} \frac{\psi_{m-1}(y_1 + y_2, y_3, \dots, y_m)}{\sqrt{y_1 y_2 (y_1 + y_2)}} = M^2 \psi_m(y_i)$$



# Symmetric polynomials

$$\psi_m(y_1, \dots, y_m) = \sqrt{y_1 y_2 \cdots y_m} \sum_{ni} c_{ni}^{(m)} P_{ni}^{(m)}(y_1, \dots, y_m)$$

$$\sum_{n'i'} \left[ \begin{matrix} +1 \\ -1 \\ 2 \end{matrix} \right] T_{ni, n'i'}^{(m)} + g V_{ni, n'i'}^{(m, m)} \right] c_{n'i'}^{(m)}$$

$$+ g \sum_{n'i'} V_{ni, n'i'}^{(m, m+2)} c_{n'i'}^{(m+2)} + g \sum_{n'i'} V_{ni, n'i'}^{(m, m-2)} c_{n'i'}^{(m-2)}$$

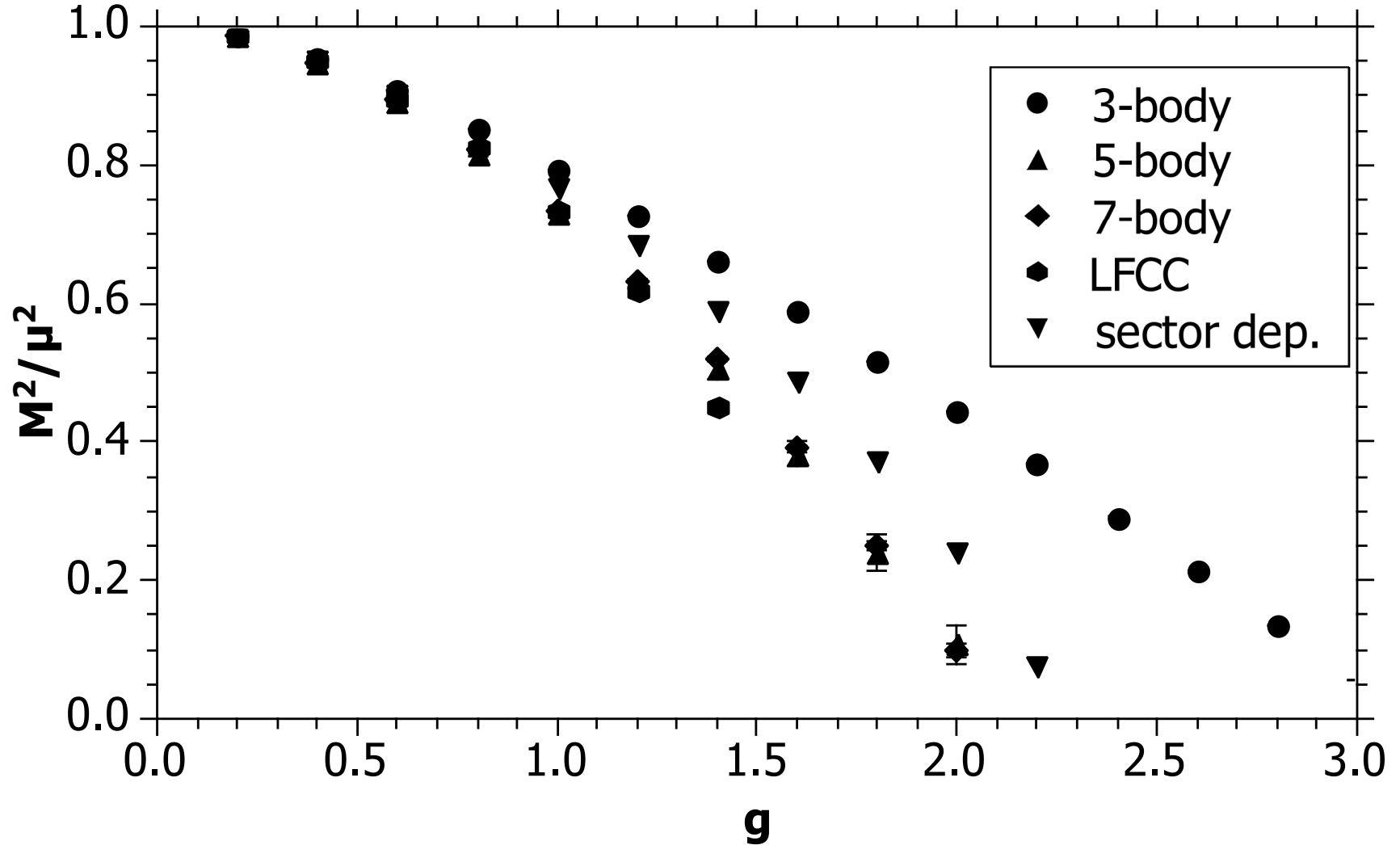
$$+ \sqrt{g} \sum_{n'i'} V_{ni, n'i'}^{(m, m+1)} c_{n'i'}^{(m+1)} + \sqrt{g} \sum_{n'i'} V_{ni, n'i'}^{(m, m-1)} c_{n'i'}^{(m-1)}$$

$$= \frac{M^2}{\mu^2} \sum_{n'i'} B_{ni, n'i'}^{(m)} c_{n'i'}^{(m)}$$

# Basis reduction

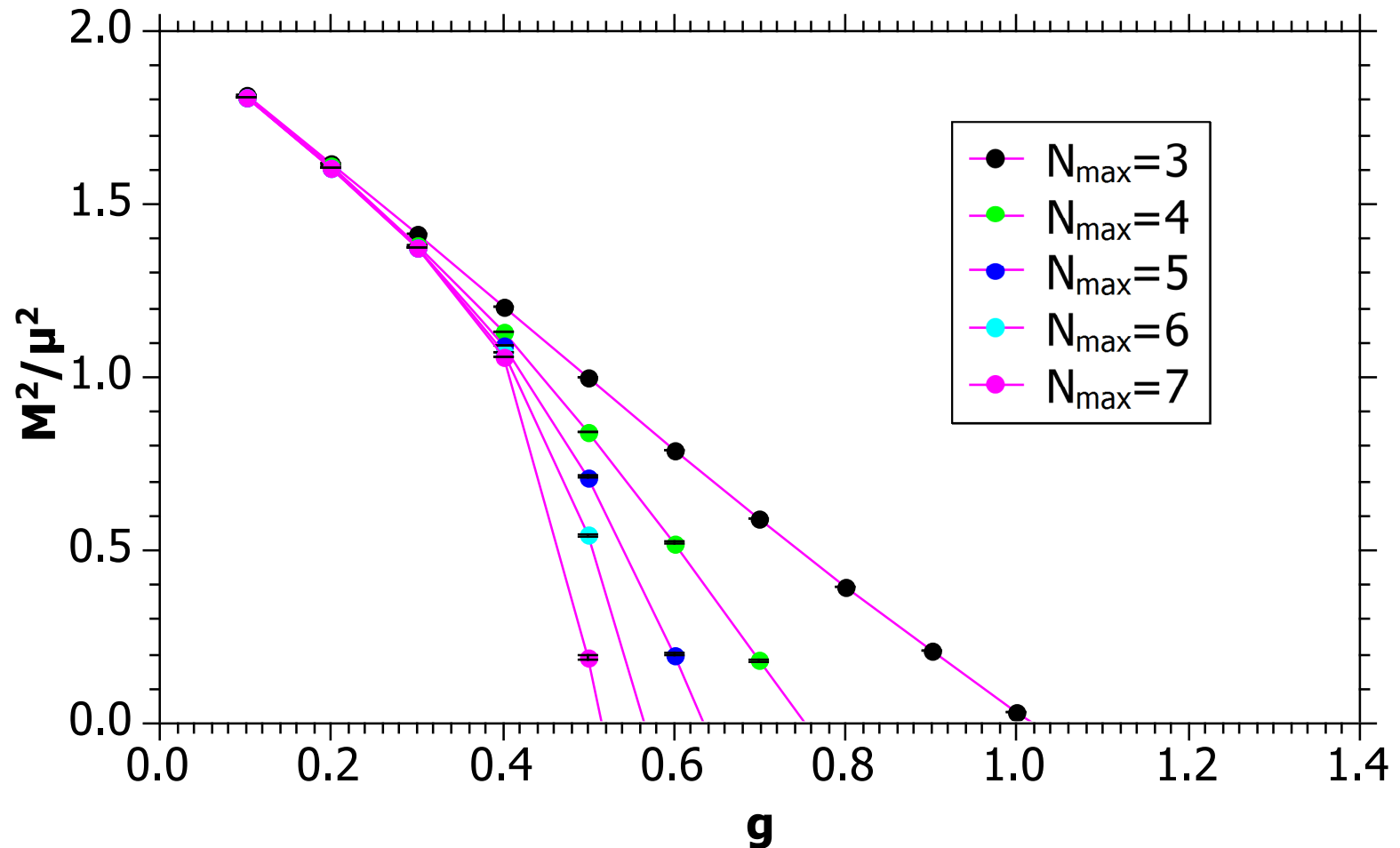
- $B^{(m)}$  = overlap of non-orthogonal basis functions in  $m$ th sector.
- implicitly orthogonalize by using a SVD
$$B^{(m)} = U^{(m)} D^{(m)} U^{(m)T}.$$
  - columns of  $U^{(m)}$  are eigenvectors of  $B^{(m)}$ .
  - $D^{(m)}$  is a diagonal matrix of the eigenvalues.
  - keep in  $U^{(m)}$  only those columns associated with eigenvalues above some positive threshold.
    - [Wilson, NPB (Proc. Suppl.) 17, 82 (1990)]
- define new vectors of coefficients  $\vec{c}^{(m)'} = D^{1/2} U^T \vec{c}^{(m)}$  and new matrices, such as  $T^{(m)'} = D^{-1/2} U^T T^{(m)} U D^{-1/2}$

# Mass squared for unbroken phase



Critical coupling at  $g = 2.1 \pm 0.05$ .

# Mass squared for asymmetric case



Each set of points corresponds to a different Fock-space truncation to  $N_{\max}$  constituents.

Critical coupling values extrapolate to  $g = 0.2 \pm 0.02$ .

# Chang's duality

[Chang, PRD **12**, 1071 (1975); **13**, 2778 (1976)]

[V.T. Kim *et al.*, PRD **69**, 085008 (2004)]

[A. Harindranath and J.P. Vary, PRD **37**, 3010 (1988)]

$$N_+[\phi^2] = N_-[\phi^2] + \frac{1}{4\pi} \ln \frac{\mu_+^2}{\mu_-^2},$$

$$N_+[\phi^4] = N_-[\phi^4] + 6 \frac{1}{4\pi} \ln \frac{\mu_+^2}{\mu_-^2} N_-[\phi^2] + 3 \left( \frac{1}{4\pi} \ln \frac{\mu_+^2}{\mu_-^2} \right)^2.$$

$$\mathcal{P}^- = \left( \frac{1}{2} \mu_+^2 + \frac{\lambda}{4} \frac{1}{4\pi} \ln \frac{\mu_+^2}{\mu_-^2} \right) N_-[\phi^2] + \frac{\lambda}{4!} N_-[\phi^4] \\ + \frac{1}{4\pi} \ln \frac{\mu_+^2}{\mu_-^2} \left( 2\mu_+^2 + \frac{\lambda}{8} \frac{1}{4\pi} \ln \frac{\mu_+^2}{\mu_-^2} \right).$$

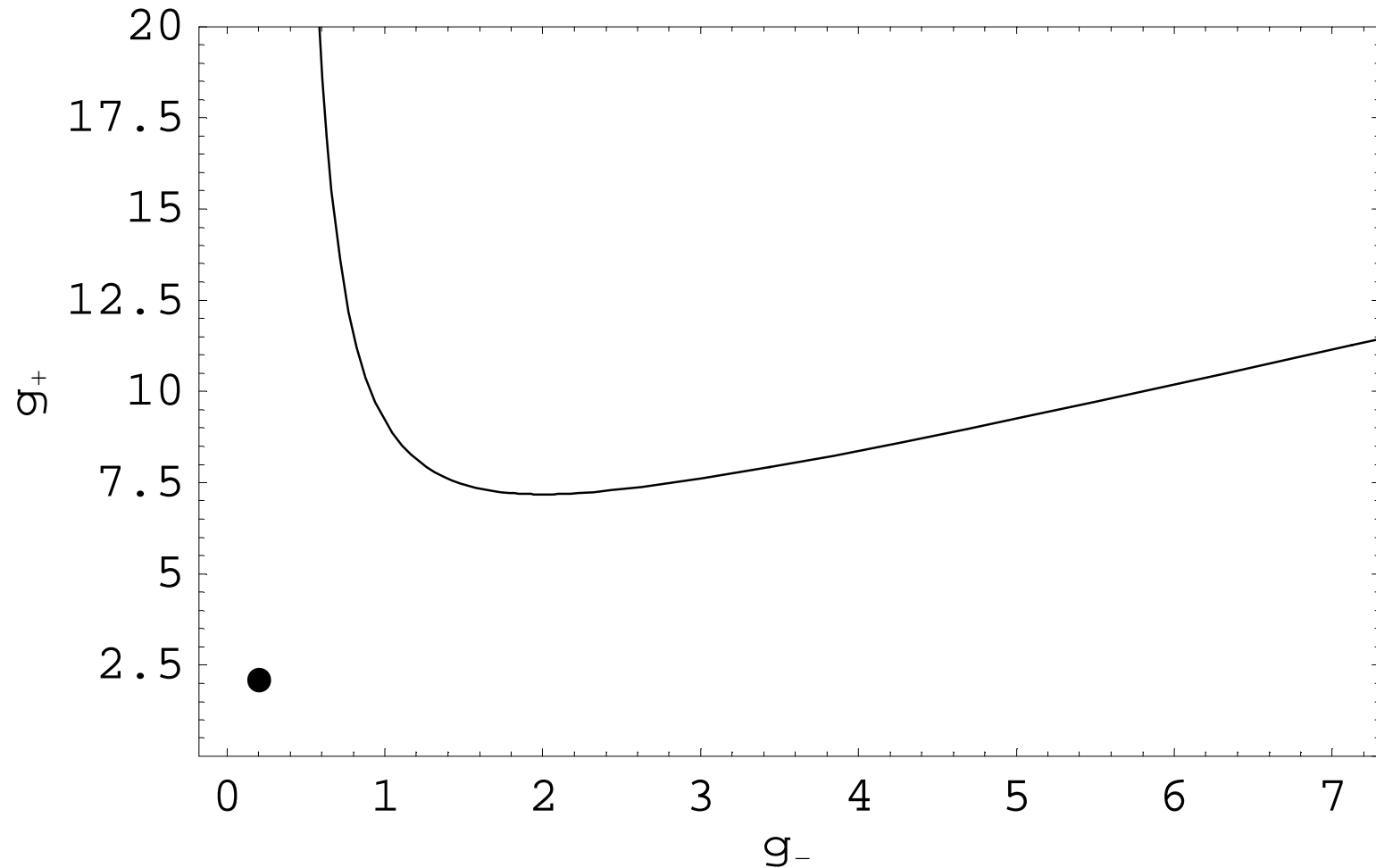
Equivalent to  $\mathcal{P}^-$  with negative mass squared if

$$\frac{1}{2} \mu_+^2 + \frac{\lambda}{4} \frac{1}{4\pi} \ln \frac{\mu_+^2}{\mu_-^2} = -\frac{1}{2} \mu_-^2.$$

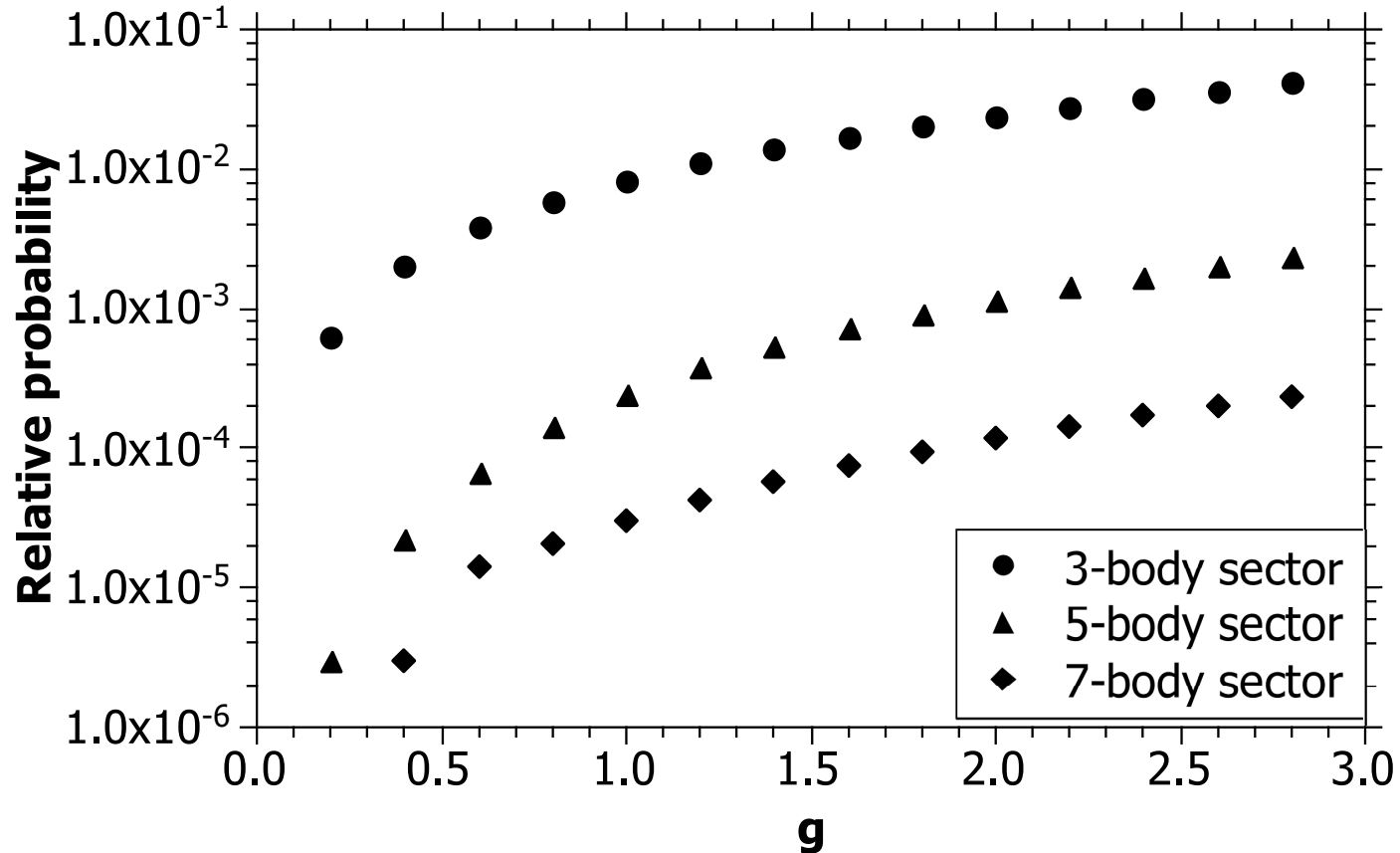
# Duality for couplings

For the dimensionless couplings  $g_{\pm} \equiv \lambda/4\pi\mu_{\pm}^2$

$$\frac{1}{g_+} - \frac{1}{2} \ln g_+ = -\frac{1}{2} \ln(g_-) - \frac{1}{g_-}.$$



# Relative probabilities



No indication of critical behavior at  $g = 2.1$ .

Assume higher sectors suppressed by 'large' mass.

Use sector dependent mass  $\mu_m$ .

# Sector-dependent mass

Invariant mass in N-body sector:  $\sum_i^N \frac{\mu^2}{1/N} = N^2 \mu^2$

$$\sum_{n'i'} \left[ \tilde{\mu}_m^2 T_{ni,n'i'}^{(m)'} + V_{ni,n'i'}^{(m,m)'} \right] c_{n'i'}^{(m)'} + \sum_{n'i'} V_{ni,n'i'}^{(m,m+2)'} c_{n'i'}^{(m+2)'} + \sum_{n'i'} V_{ni,n'i'}^{(m,m-2)'} c_{n'i'}^{(m-2)'} = \tilde{M}^2 c_{ni}^{(m)'},$$

with  $\tilde{\mu}_m \equiv \mu_m \sqrt{4\pi/\lambda}$  and  $\tilde{M} \equiv M \sqrt{4\pi/\lambda}$ .

$$N_{\max} \rightarrow \infty \Rightarrow \tilde{\mu}_m^2 \rightarrow \tilde{\mu} \equiv \pm 4\pi\mu^2/\lambda$$

$$g \equiv \frac{\lambda}{4\pi\mu^2} \Rightarrow g \simeq 1/|\tilde{\mu}_1^2|$$

$$\text{and } M^2/\mu^2 = g\tilde{M}^2 = \tilde{M}^2/|\tilde{\mu}_1^2|.$$



# Solution for $\tilde{\mu}_1$

Define set of matrices  $G^{(m)}$  recursively, from  $m = N_{\max}$  down to 3, as

$$G^{(m)} = \left[ \tilde{\mu}_m^2 T^{(m)'} + V^{(m,m)'} - \tilde{M}^2 I^{(m)} - V^{(m,m+2)'} G^{(m+2)} V^{(m+2,m)'} \right]^{-1},$$

with the initial form given by

$$G^{(N_{\max})} = \left[ \tilde{M}^2 T^{(N_{\max})'} + V^{(N_{\max},N_{\max})'} - \tilde{M}^2 I^{(N_{\max})} \right]^{-1}$$

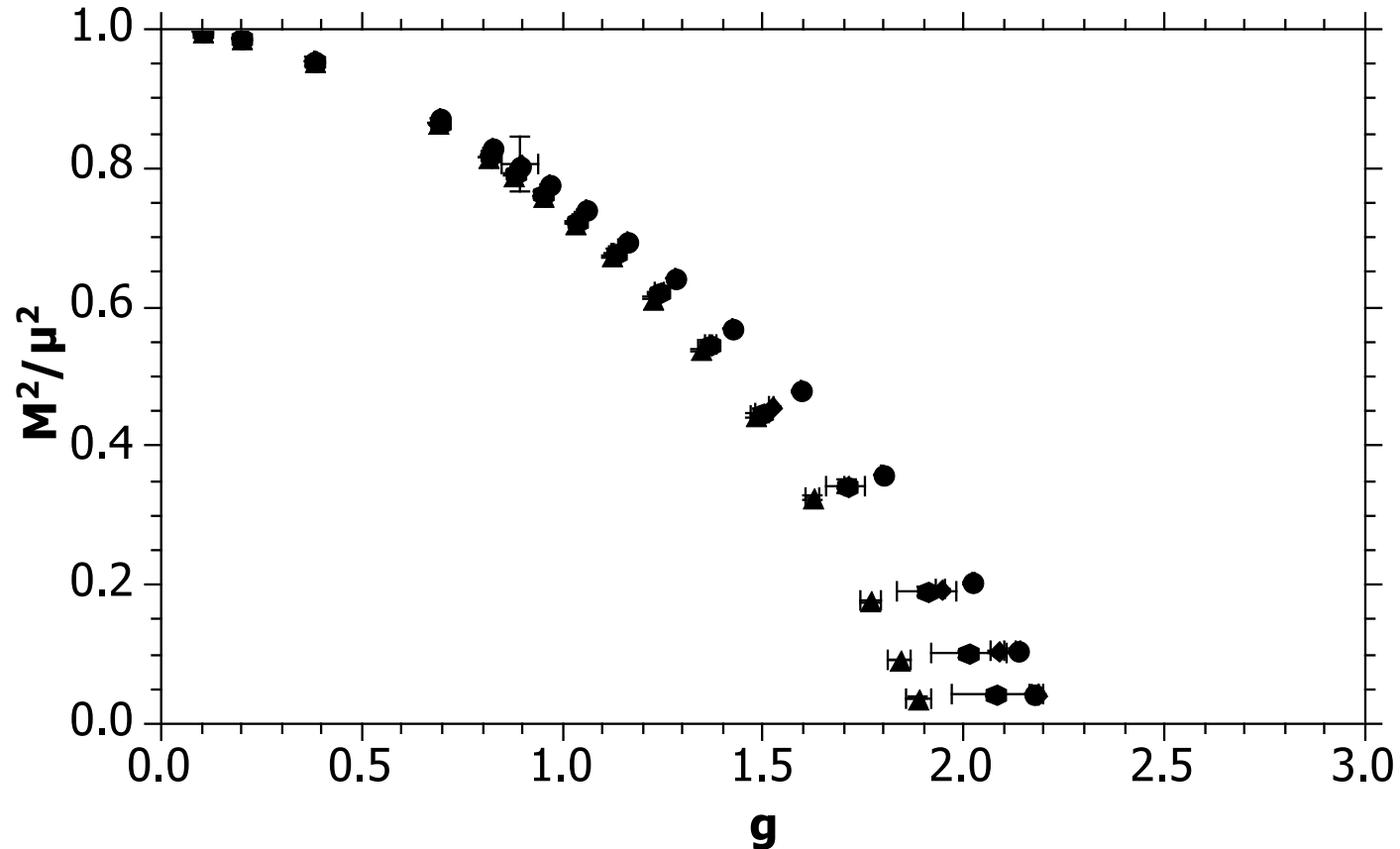
The mass in the lowest sector is then simply

$$\tilde{\mu}_1^2 = \frac{1}{T^{(1)}} \left[ \tilde{M}^2 - V^{(1,1)'} - V^{(1,3)'} G^{(3)} V^{(3,1)'} \right].$$

Coefficients for the wave-function expansions are constructed recursively from  $m = 3$  up to  $N_{\max}$  by

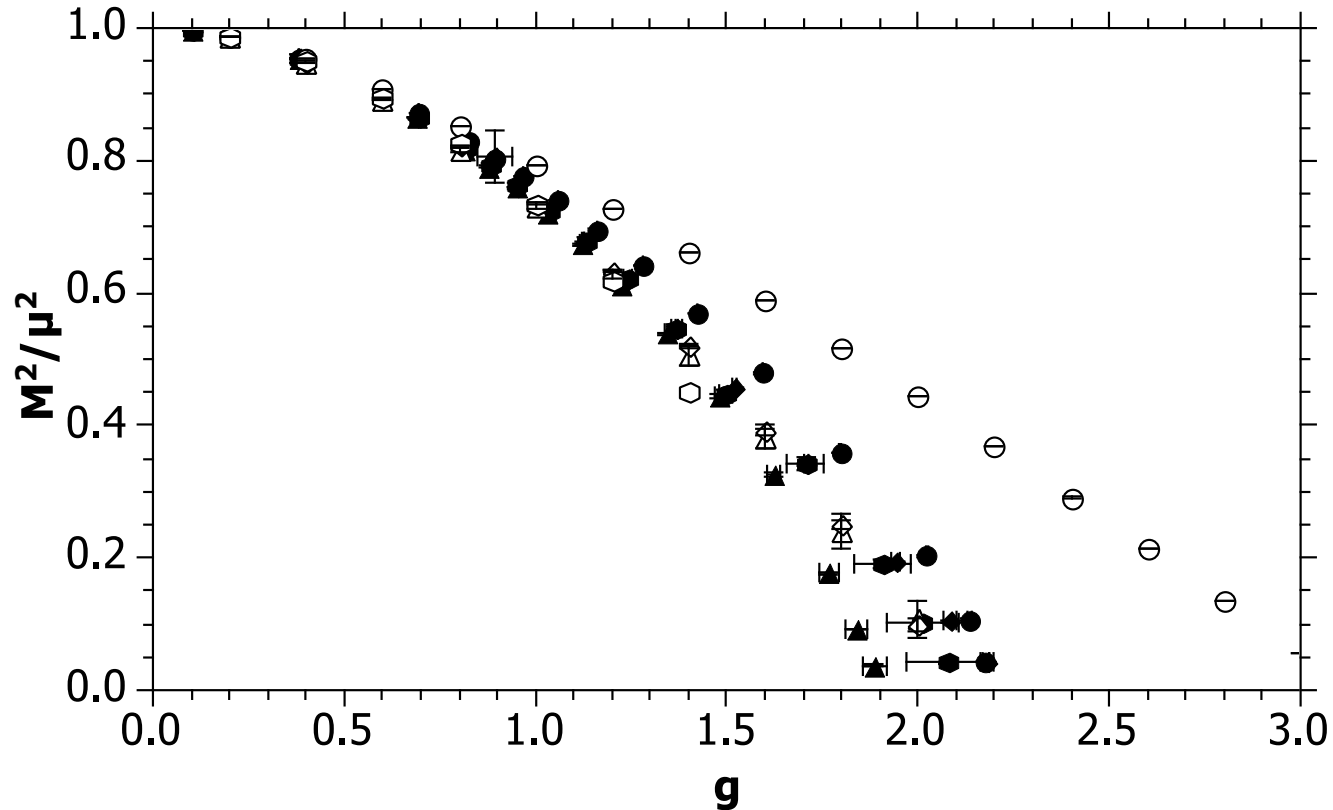
$$\vec{c}^{(m)'} / c^{(1)} = G^{(m)} V^{(m,m-2)'} \vec{c}^{(m-2)'} / c^{(1)}.$$

# Results with sector dependence



$N_{\max} = 3$  (circles), 5 (triangles), 7 (diamonds), 9 (hex).  
Error bars estimate the range of fits for  $\mu_1$  extrapolations.  
Estimate critical coupling to be 2.1.

# Combined results

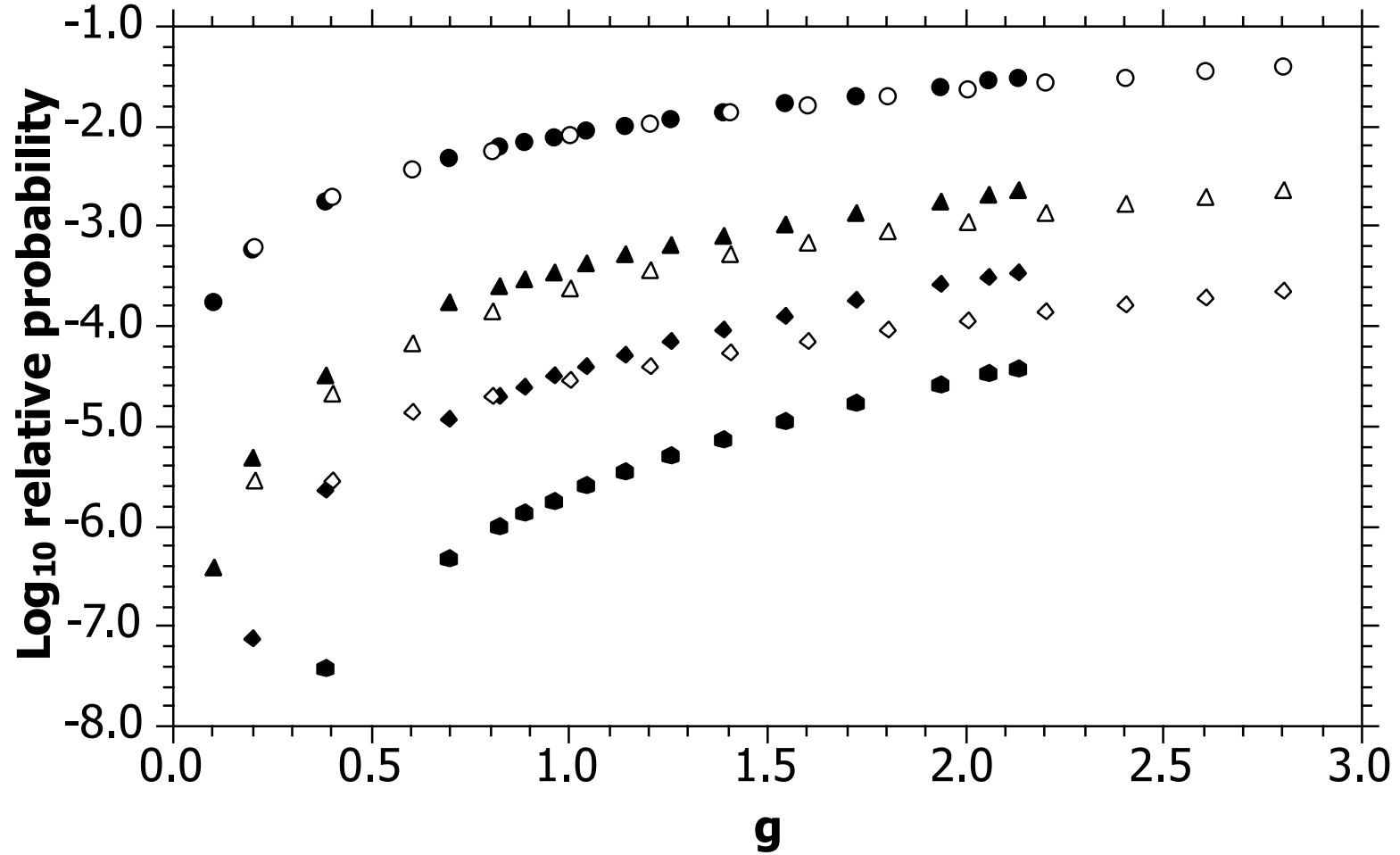


Standard parameterization: open symbols up to  $N_{\max} = 7$ .  
Five and seven-constituent results are nearly identical with  
the nine-constituent sector-dependent results.  
LFCC results: open hexagons

# Comparison

- results consistent with those from the standard parameterization
- the critical coupling is again estimated to be 2.1
- sector-dependent results do converge more slowly
  - they require  $N_{\max} = 9$  compared to the  $N_{\max} = 5$  for the standard parameterization.
  - to be expected, even desired, because we expect that higher Fock states should become more important as the critical coupling is approached.
  - but, how important?

# Sector-dependent relative probabilities



Computed with a truncation of  $N_{\text{max}} = 9$ .

Open symbols for standard parameterization ( $N_{\text{max}} = 7$ ).

# Conclusions

- the relative probabilities are essentially the same in the three-body Fock sector
  - indicating full convergence with respect to the Fock-space truncation.
- In Fock sectors with five and seven constituents, the relative probability for the sector-dependent case rises above the probability in the standard case as the critical coupling is approached.
  - the greater probability is expected
  - however, the full expectation was that these probabilities would increase more rapidly
- the original hypothesis, that sector-dependent masses would resolve the critical behavior, must be incorrect.

# Summary

- need to understand calculation of the vev
  - in the presented solution for the broken phase, the vev remains nonzero at the (alleged) critical coupling
  - similarly, for the unbroken phase, the vev remains zero as the critical coupling is exceeded
  - a GEP analysis can determine a vev that does flip between zero and non-zero, but discontinuously
    - transition known to be not first order
- need to understand the convergence of the Fock space truncation near the critical coupling
  - more in next talk